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### Complete if Known

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| Application Number     | 10/802,975         |
| Filing Date            | 03/17/2004         |
| First Named Inventor   | Gary Allan Strobel |
| Art Unit               |                    |
| Examiner Name          |                    |
| Attorney Docket Number | PHI04-0001         |

Sheet 1 of 3

### NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
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*Gene Marx*

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Information Disclosure Statement  
 Application Number: 10/802,975  
 Filing Date: March 17, 2004  
 First Named Inventor: Gary Allan Strobel  
 Sheet 2 of 3

| Authors   | Title of Article   | Title of Book or Journal | Date      | Pages   | Volume/Issue | Publisher                  | City & Country Published                 |
|---|--|--------------------------|-----------|---------|--------------|----------------------------|--|
| C. W. BACON & J. F. WHITE JR.   | An Overview of Endophytic Microbes: Endophytism Defined  | Microbial Endophytes     | 2/25/2000 | p.1-21  | N/A          | Marcel Dekker, Inc.        | New York, NY<br>United States of America |
| J. BUURMAN & J. KRISTENSSON   | Volatile production by <i>Aspergillus versicolor</i> as a possible cause of odor in houses affected by fungi                           | Mycopathologia           | 1992      | 173-178 | 118          | Kluwer Academic Publishers | Dordrecht Netherlands                    |
| J.Y. LI, & G.A. STROBEL   | Jesterone and hydroxy-jesterone antioomycete cyclohexenone epoxides from the endophytic fungus <i>Pestalotiopsis jesteri</i>           | Phytochemistry           | 2001a     | 261-265 | 57           | Elsevier Science (US)      | New York, NY<br>United States of America |
| J.Y. LI, J. HARPER, D.M. GRANT, B.O. TOMBE, B. BASHYAL, W.M. HESS, G.A. STROBEL | Cambuic acid, a highly functionalized cyclohexenone with antifungal activity from <i>Pestalotiopsis</i> spp and <i>Monochaetia</i> sp. | Phytochemistry           | 2001b     | 463-468 | 56           | Elsevier Science (US)      | New York, NY<br>United States of America |
| J.Y. LI, G.A. STROBEL, J. HARPER, E. LOBKOVSKY, & J. CLARDY                     | Cryptocin, a potent tetramic acid antimycotoc from the endophytic fungus <i>Cryptosporiopsis cf. quercina</i>                          | Organic Letters          | 2000      | 767-770 | 2            | American Chemical Society  | Columbus, OH<br>United States of America |
| S. RAPIOR, F. FONS, & J. BESSIERE   | The fenugreek odor of <i>Lactarius helvius</i>   | Mycologia                | 2000      | 305-308 | 92           | Allen Press, Inc.          | Lawrence, KS<br>United States of America |

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| Authors   | Title of Article   | Title of Book or Journal    | Date | Pages     | Volume/Issue | Publisher   | City & Country Published                      |
|---|--|-----------------------------|------|-----------|--------------|---|---|
| J. SCHNURER, J. OLSSON & T. BORJESSON                                     | Fungal volatiles as indicators of food and feeds spoilage.   | Fungal Genetics and Biology | 1999 | 209-217   | 27           | Academic Press                                      | San Diego, CA<br>United States of America     |
| A. STIERLE, G.A. STROBEL, & D. STIERLE                                    | Taxol and taxane production by <i>Taxomyces andreanae</i> , and endophytic fungus of Pacific yew.                | Science                     | 1993 | 214-216   | 260          | American Association for the Advancement of Science | Washington, D.C.,<br>United States of America |
| G.A. STROBEL, J.Y. LI, F. SUGAWARA, H. KOSHINO, J. HARPER, & W.M. HESS    | Oocyclina, a chlorinated macrocyclic lactone with potent ant-oomycete activity from <i>Serratia marcescens</i> . | Microbiol                   | 1999 | 3557-3564 | 145          | Society for General Microbiology                    | Spencer Wood, Reading, UK                     |
| G.A. STROBEL, E. DIRKSIE, J. SEARS, & C. MARKWORTH                        | Volatile antimicrobials from a novel endophytic fungus.  | Microbiol                   | 2001 | 2943-2950 | 147          | Society for General Microbiology                    | Spencer Wood, Reading, UK                     |
| J. WOROPONG, G.A. STROBEL, E.J. FORD, J.Y. LI, G. BAIRD, & W.M. HESS      | <i>Muscador roseus</i> aman. nov. an endophyte from <i>Cinnamomum zeylanicum</i> .                               | Mycotaxon                   | 2001 | 67-79     | 79           | Mycotaxon, LTD                                      | Ithica, NY<br>United States of America        |
| J. WOROPONG, G.A. STROBEL, B. DAISY, U.F. CASTILLO, G. BAIRD, & W.M. HESS | <i>Muscador roseus</i> aman. nov. an endophyte from <i>Grevillea pteridifolia</i> .                              | Mycotaxon                   | 2002 | 463-475   | 80           | Mycotaxon, LTD                                      | Ithica, NY<br>United States of America        |

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